



# **BATS EXCHANGE REPORTS 9.48% OCTOBER MATCHED MARKET SHARE; BATS EUROPE SETS RECORDS FOR FTSE 100, ALL OF EUROPE**

**BATS EUROPE MARKS FIRST ANNIVERSARY WITH RECORD 8.05% OF FTSE 100,  
3.71% OF PAN-EUROPEAN MARKET IN OCTOBER**

KANSAS CITY, Mo. and LONDON – November 2, 2009 – BATS Global Markets, an innovative global financial markets technology company, reports that BATS Exchange earned 9.48% US matched market share in October on its single trading platform, while BATS Europe earned new market share records in the FTSE 100 of 8.05% and the European market overall with 3.71% for the month.

BATS Europe, which launched one year ago on October 31<sup>st</sup>, 2008, also hit new one day record highs in the FTSE 100 (9.70%) and FTSE MIB (5.0%). The fast-growing MTF also recorded a new average daily notional value record for the month of about €1.27 billion.

“We continue to enhance our functionality and services with great results for customers at both of our major markets,” said Joe Ratterman, CEO of BATS Exchange and BATS Global Markets. “While other market centers have continued to increase their rates for routing and removing liquidity rates, BATS has maintained better take and route fees than our competitors.

“This week, in particular, we are celebrating the one-year anniversary of BATS Europe and the incredible progress our London team has made in such a short time. We couldn’t have asked for a better reception in this market and we thank our investors and customers globally for their continued support,” he said.

Also in October:

- BATS Exchange maintained its aforementioned low liquidity removal fee of \$0.0025 per share – for all securities – and a route rate of \$0.0026, again for all US stocks.
- BATS Exchange filed its rule set with the Securities and Exchange Commission to [launch a second US equities exchange](#) (BYX), in early 2010.
- BATS Global Markets announced plans to expand its hosting space in Savvis’ Weehawken data center to support current business growth and the launch of BYX and a [licensed US options exchange](#), also in early 2010.
- The [BATS 1000<sup>SM</sup> Index](#) closed at 11,224.82 on October 30 compared to 11,507.88 the last day of September.

*BATS Exchange October 2009 US Highlights:*

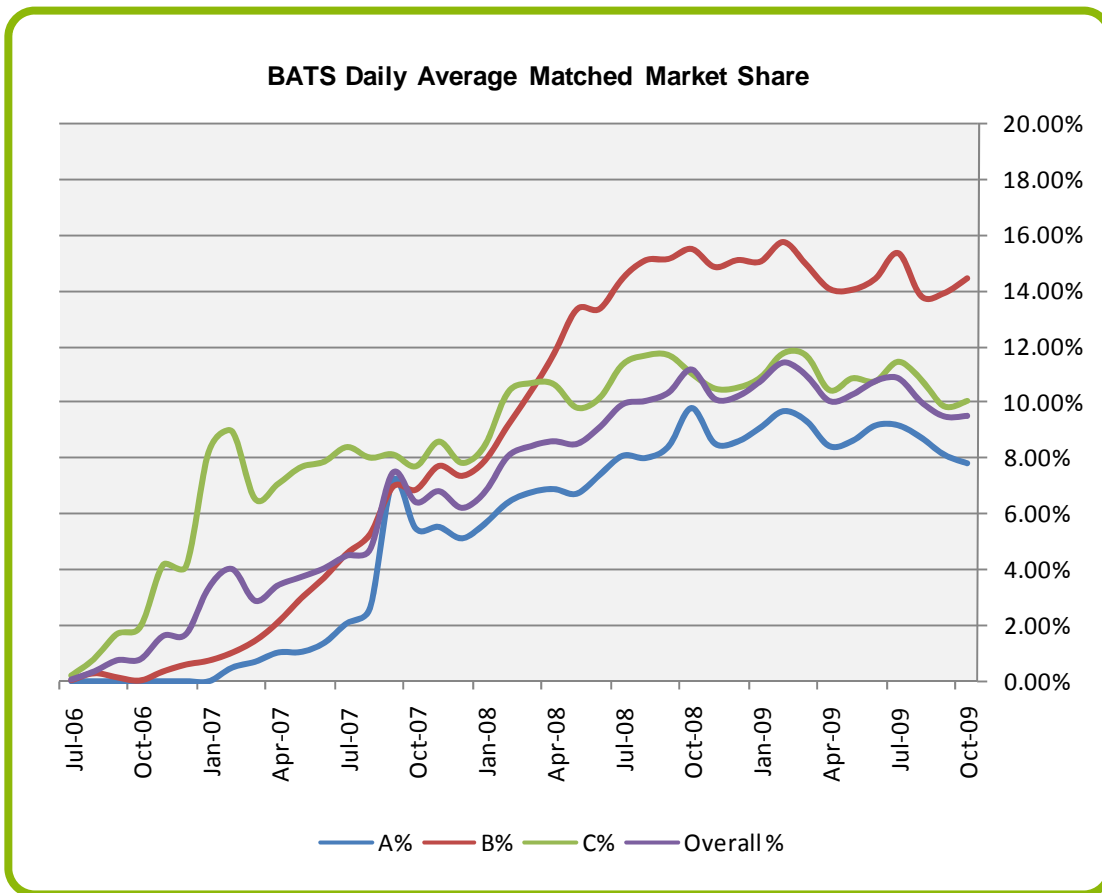
- BATS average total handled volume of 1,047,731,859 shares daily (includes odd-lots)
- BATS average matched volume of 874,766,913 shares daily (excludes odd-lots)
- BATS average internal match rate of 85.62%
- BATS matched market share for Tape A securities of 7.78%

- BATS matched market share for Tape B securities of 14.44%
- BATS matched market share for Tape C securities of 9.99%
- BATS matched market share for all securities of 9.48%
- BATS total notional value traded of \$524,396,022,589 (excludes odd lots)

*BATS Europe October 2009 Highlights:*

- BATS Europe average daily notional value traded of €1,266,615,443 for all markets (new record)
- BATS Europe market share for FTSE 100 securities of 8.05% (new record)
- BATS Europe market share for FTSE 250 securities of 4.80% (new record)
- BATS Europe market share for CAC 40 securities of 3.88%
- BATS Europe market share for DAX 30 securities of 3.43%
- BATS Europe market share for AEX securities of 3.77%
- BATS Europe market share for all securities of 3.71% (new record)

**Exhibit 1: BATS Exchange US Matched Market Share for all securities: July 2006 to October 2009**



Taking the top 25 U.S. stocks, ranked by total volume traded in all U.S. markets, BATS Exchange's matched market share on a security by security basis for October was as follows:

| Stock Name | Total Industry Volume | BATS Matched Volume | BATS Market Share |
|------------|-----------------------|---------------------|-------------------|
|------------|-----------------------|---------------------|-------------------|

|      |               |             |        |
|------|---------------|-------------|--------|
| C    | 9,863,053,286 | 765,203,609 | 7.76%  |
| BAC  | 4,552,015,255 | 339,274,704 | 7.45%  |
| SPY  | 4,356,316,672 | 543,565,201 | 12.48% |
| XLF  | 2,288,872,507 | 449,384,504 | 19.63% |
| QQQQ | 2,262,586,871 | 387,098,451 | 17.11% |
| GE   | 2,120,794,323 | 141,801,786 | 6.69%  |
| FAZ  | 1,867,940,084 | 245,911,876 | 13.16% |
| EEM  | 1,857,442,656 | 300,295,852 | 16.17% |
| CIT  | 1,783,901,213 | 81,358,393  | 4.56%  |
| ETFC | 1,732,218,962 | 135,505,802 | 7.82%  |
| INTC | 1,676,042,837 | 212,771,975 | 12.69% |
| MSFT | 1,512,963,058 | 189,277,878 | 12.51% |
| PFE  | 1,479,148,577 | 131,333,124 | 8.88%  |
| F    | 1,463,205,182 | 98,653,347  | 6.74%  |
| S    | 1,297,623,569 | 92,564,124  | 7.13%  |
| IWM  | 1,188,794,308 | 245,264,918 | 20.63% |
| FNM  | 1,173,730,482 | 57,276,017  | 4.88%  |
| WFC  | 1,159,904,684 | 100,292,739 | 8.65%  |
| SDS  | 990,238,667   | 165,305,193 | 16.69% |
| LVS  | 955,674,852   | 64,794,733  | 6.78%  |
| CSCO | 955,399,424   | 109,910,268 | 11.50% |
| AA   | 853,810,827   | 68,467,183  | 8.02%  |
| RF   | 849,224,477   | 70,376,115  | 8.29%  |
| JPM  | 844,652,001   | 73,532,201  | 8.71%  |
| ORCL | 814,497,869   | 105,133,445 | 12.91% |

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### **About BATS**

BATS Global Markets (BATS) is an innovative global financial markets technology company headquartered in the Kansas City, Mo., area with additional offices in New York and London. The BATS platform was launched in January 2006 and, operating as BATS Exchange, Inc., is one of the fastest growing, top tier equity markets in the United States. BATS serves the European market through its London based, FSA-authorised subsidiary, BATS Europe, which operates a Multilateral Trading Facility for European securities, and expects to launch BATS Options in the US in early 2010. The BATS platform is internally developed by a dedicated core team of market and technology professionals, catering to the needs of the broker-dealer and trading community. BATS ... Making Markets Better.

### **BATS:**

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